Interferons in the therapy of solid tumors. Part III. Interferon and various solid malignancies

ABSTRACT

Interferons exert a consistent therapeutic effect in a proportion of patients with renal cell carcinoma and melanoma. In other solid malignancies, this therapeutic approach is investigated at more limited extent; therefore, it is still in experimental area. In this review, we analyzed the clinical trials that used the IFN as monotherapy or, more frequently, as combined biochemotherapeutic regimen. This therapeutic strategy was not justified in colon cancer. Similarly, IFNs did not make a major progress in the treatment of lung cancer regardless the tumor type. Very limited activity was seen also in advanced breast cancer. In most other solid tumors, clinical experience is insufficient and only anecdotal benefits were reported. This is equally true for premalignant lesions, the possibilities of IFN-therapy of which are largely overlooked. In the future, some approaches such as combination of IFN and hormonotherapy in breast cancer, and with retinoic acid in squamous cell carcinomas, deserve further investigation. The optimization of IFN regimens in solid malignancies is the aim of current efforts. Better understanding of biological mechanisms of specific tumor sensitivity, and also the mechanisms of resistance of sensitive tumor types to IFN, will probably lead to the defining features of tumor responsiveness.

Key words: Colon cancer; Lung cancer; Breast cancer; Immunotherapy

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INTRODUCTION

Although at the first glance the results of interferon (IFN) therapy of solid tumors might seem discouraging, some metastatic tumors such as renal cell carcinoma (RCC) (1) and malignant melanoma (MM) (2) undergo regression in a fraction of treated patients. Apart from these tumors, IFNs were tried in a wide range of other solid malignancies. In the therapy of common solid tumors such as colon and lung cancers, the use of IFN is still experimental. In this review we summarize the results of clinical

Abbreviations used in text: IFN - interferon; RCC - renal cell carcinoma; MM - malignant melanoma;

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studies that used IFN, alone or in combination with other oncological treatment modalities, in the therapy of various cancers.

Colorectal cancer

There is, presently, no satisfactory standard treatment for advanced colorectal carcinoma (CC). Most commonly used chemotherapeutic agent, 5-fluorouracil (5-FU), definitely has some, but only modest activity, giving the response rates of 10-15% and no consistent effect on survival. Because of that, the developments of new therapeutic strategies are ongoing, with the aim to improve the response rate, time to progression and survival. Many of them include IFN, which was stimulated by preclinical data and encouraging results obtained in RCC and MM patients.

IFN as a single agent therapy

Several clinical trials used IFN α monotherapy in advanced colorectal cancer; a response rate of only 2% was obtained, regardless dose or schedule (3). Therefore, such a therapy seems to have no activity and virtually no clinical effects.

Few studies with IFN β (4) or IFN γ (5) also showed very limited activity. These disappointing results were the reason for abandonment of the IFN single-agent therapy of advanced CC.

The very poor responsiveness of this gastrointestinal malignancy to IFN treatment may be ascribed, at least in part, to the presence of both specific and non-specific IFN inactivators/inhibitors in sera of the patients (6,7); the nature of these factors is still unclear.

IFN and chemotherapy drug combinations

IFN and 5-FU combination. Preclinical data suggesting that IFN α and 5-FU have a synergistic cytostatic effect upon cultured colon carcinoma cells (8), stimulated an increasing number of clinical investigations to evaluate the therapeutic potential of this combination. This was also supported by the observation that IFNchemotherapy combination may reduce the IFN-inhibitors/inactivators in cancer patients (9). The results of 25 selected trials are presented in Table 1 (10-32). In various treatment schedules, 5-FU was used at doses ranging from 225-750mg/m² (usually 750mg/m²), and IFN α at doses of 0,5 - 20MU/day. The overall response (OR) rates varied very much (3-70%), giving an average OR of 27%. These divergent therapeutic results may be accounted, at least in part, to the small number of patients in some trials, and inclusion of patients refractory to 5-FU monotherapy. It is evident that the initial impressive response rate (63%) obtained in the Wadler's report (11) has failed to be reproduced; the only exception is the recent study of Kimm et al. (28), the relevance of which is hampered by the small number of patients. However, the overall response rate of about 30%, although modest, is still higher than that of the each agent

CC - colorectal cancer; 5-FU - 5-fluorouracil; LV - leucovorin; CR - complete response; PR - partial response; OR overall response; NSCLC - non-small cell lung cancer; SCLC - small cell lung cancer; SCC - squamous cell carcinoma; IL-2 - interleukin 2; sIL-2R - soluble IL-2 receptors; ChT - chemotherapy; RT - radiotherapy; RA - retinoic acid; BRMs - biological response modifiers



monotherapy. In terms of survival, the results of several randomized trials gave no indication that the IFN α -5-FU combination had any advantage over the 5-FU monotherapy.

It should be noted that the constant evidence from most clinical trials was the increased systemic toxicity of 5-FU by IFN α , requiring the reduction of IFN dose in some patients (33).

Therefore, although these two drugs' synergism was reported in several preclinical studies, the results of trials analyzed in this review did not justify this therapeutical strategy. The enhanced toxicity, no survival benefit, along with cost consideration, compromise the beneficial effect on the OR rate.

Similarly to IFN α , IFN β also potentiates the antitumor activity of 5-FU against human colon cancer cells *in vitro* and *in vivo* (34). Based on these findings, three recent clinical studies used IFN β for the 5-FU biomodulation (Table 1).

The mean OR rate of 27% is similar to that of

IFNα+5-FU combination. It seems that IFNβ does not increase the toxicity of 5-FU (30,32), and that this combination is less toxic than that of IFNα+5-FU. A significant increase of survival was reported in one study (32), suggesting that this combination might deserve further investigation. Table Rec. Seems the toxicity of 5-FU (30,32), Suggesting that this combination might deserve further investigation. Table Tab

IFN+5-FU+LV combination

Another strategy aiming to enhance cytotoxicity of 5-FU is focused on the use of triple drug combination - 5-FU, leucovorin (LV) and IFN α . The potential advantage might be double modulation of 5-FU by LV and IFN, the drugs with different mechanisms of action. The results of 15 clinical trials with 908 patients are presented in Table 2 (35-43). The average OR of 29% was obtained with different doses and schedules of these drugs' administration (range 10-54%). The response rate and survival duration were not significantly better than that obtained

Table 1. Response of advanced colorectal cancer patients to the IFN+5-FU combinations.

Study	Evaluable patients	CR	PR	OR (%)	Mean survival (months)	
IFN α+5-FU						
Wrighley 1984*	14	0	2	14		
Clark 1987	29	0	1	3		
Wadler 1990	32	0	20	63		
Pazdur 1990	45	1	15	36		
Kemeny 1990	35	0	9	26		
Fornasiero 1990 ¹⁴	21	4	5	43		
Huberman 1991*	33	0	13	39		
Wadler ECOG 1991	36	1	14	42		
Meadows 1991	17	2	2	23		
Weh 1992 ¹⁷	55	0	17	31		
Rubio 1992	33	3	5	24		
19 Pazdur 1993	39	1	11	31		
20 John 1993	18	1	5	33		
Vork 1993*	121			31		
Findlay 1994	118	0	5	8		
Hill 1994*	63			31	11	
CORFU-Л 1995 ²²	243			21	11	
DiConstanzo 1995*	92			9	0	
Hill 1995 ²³	52			19	8	
Kohne 1995* 24	68 64	2	4	19 9	12	
Piga 1996 ²⁴						
Dufour 1996	56	3	8	20	12,3	
Patt 1997 ²⁶	45	3	12	33		
Perez 1998 27	33	0	2	6	5	
Kim 1998 ²⁸	10	1	6	70		
29 Kohne 1998	90			18	12,7	
Total: 26	1462			27	(mean)	
IFN β+5-FU						
30 Joffe 1997	21	1	3	19	8,4	
Wadler 1998 31	59			28		
Villar-Grimalt 1999	25			33	15,9	
Total: 3	105			27		

* References cited in Kjaer

Table 2. Response of advanced colorectal cancer

 patients to the IFN+5-FU+LV combinations.

Study	Evaluable patients	CR	PR	OR (%)	Mean survival (months)
Recchia 1992*	32	2	5	22	
Schmoll 1992*	43			10	
Cascinu 1992*	45			51	
Sobrero 1992	15			20	
Pensel 1993*	24	4	6	41	
Kocha 1993*	240	4 7 3	43	21	
Grem 1993	44	3	21	54	
Punt 1993 ³⁷	45			25	11
Seymour 1994*	83			30	
Pazdur 1994 ³⁸	47	3	11	30	
Kosmidis 1996	51			10	7,2
Tournigand 1997	50	1	21	44	25
Kohne 1997	33			15	9,9
Kohne 1998 42	49			27	19,6
Hausmaninger 1999 ⁴³	107	5	33	36	
Total: 15	908			29	(mean)

* References cited in Raderer and Kjaer

with 5-FU alone or combined with either LV or IFN. In addition, virtually all trials have demonstrated a significantly higher rate of adverse events, and decreased quality of life. This is because such regimens cannot presently be recommended for routine use outside clinical investigation (43).

There exist little data for IFN and antineoplastic drugs other than 5-FU and LV for patients with advanced colorectal cancer (44,45); they provided no evidence of any therapeutic advantage over the 5-FU regimens.

IFN α -5-FU combination and other biotherapeutics

Further attempts to improve response rate and survival of advanced CC patients introduced IFN γ or interleukin-2 (IL-2) to the combination of 5-FU and IFN α (with or without LV). The rationale for such combinations was the preclinical data suggesting synergy between these biological agents, which was confirmed in clinical studies in RCC and MM patients (1,2). Few trials (46-49) using different scheduling and doses of these biochemotherapeutics, showed that these multiagent combinations failed to improve clinical benefit of 5-FU monotherapy, and were accompanied by severe toxicity that required the treatment interruptions or dose reductions.

As in RCC and MM (1,2), it is not possible to define the responders to IFN combination therapies. The predictors of response are still lacking. It is unlikely that ras mutations (such as c-Ki*ras*2, which occurs early in the pathogenesis of CC and is found in about 40% of patients) will have significant prognostic value for either response to therapy or survival (50). Similarly, the immunostimulation obtained by IFN treatment did not predict improved clinical outcome (51).

In conclusion, after the initial hopes, the combination of IFN- α with 5-FU and other biochemotherapeutics does not seem to fulfill the original expectations. It is not sufficiently effective, it is toxic, and it is costly. Further research efforts are required and new treatment strategies are needed if progress is to be

Lung cancer

Although chemo- and radiotherapy do have activity in lung cancer, the results of these therapeutic options are unsatisfactory. Therapeutic regimens that are currently used in advanced non-small cell lung cancer (NSCLC) yield the average OR rates of 20-30%, while median survival may be as low as 6-8 months. Small-cell lung cancer (SCLC), which distinguishes itself from NSCLC by more aggressive clinical course and median survival less than 3 months in the absence of treatment, has greater responsiveness to chemotherapy, but median survival remains 12-14 months.

In the search of new systemic strategies against lung cancer, biologic agents such as IFNs have been reconsidered for the treatment programs. Clinical studies that include IFNs are heterogeneous in regard to the IFN type and other biochemotherapeutics used in combination therapy; they frequently dealt with small sample series, and patients groups are often unmatched by stage of disease and category of responses.

IFNs in advanced non-small cell lung cancer (NSCLC)

The IFN monotherapy is inactive in this disease; the responses were rare and no impact on survival was seen (54).

Clinical experiences with IFNs as adjunctive treatment of NSCLC are limited. The summarized data of trials that used IFN in combination with other agents are presented in Table 3 (55-72). It is evident that addition of IFNs to conventional chemotherapy confers little or no benefit; these combinations are usually accompanied by increased toxicity. When IFNs were combined with radiotherapy (RT), different results have been reported. The IFNβ-therapy preceding RT gave encouraging response rate in the McDonald's study (65); concurrent treatment with IFN α and RT did not provide any advantage over RT alone (64), while the concomitant treatment of NSCLC patients with IFNy and fractionated thoracic radiation was associated with severe, life-threatening toxicity without effect on survival (66).

In the studies using IFN in combination with retinoic acid (RA) or IL-2, no antitumor response was seen (Table 3.). Multiagent regimens including chemotherapy, IFN and the thymic preparation thymosin-a1, gave an improved response rate (71,72), which was associated with reduced toxicity. These promising results need to be confirmed in larger randomized trials.



Table 3. Response of advanced non-small lung cancer patients to IFN in combination with standard oncologic therapy and various biotherapeutics.

Study	Therapy	Evaluable patients	CR	PR	OR (%)	Median survival (months)
Schiller 1989	$IFN\gamma + IFN\beta + ChT$	18	0	2	11	8
Bowman 1990	IFNa+ChT	60	0	18	30	
Rosell 1991	IFN α +ChT	30			13	
Lind 1991 ⁵⁸ Ardizzoni 1993 ⁵⁹ Halme 1994 ⁶⁰ Quan 1994 ⁶¹ Quan 1996 ⁶² Prior 1999 ⁶³	IFN α +ChT	45	2	7	20	
	$IFN\alpha + ChT$	90			19	5,5
	IFNy+ChT	27	0	8	29	6-7
	IFNγ+IFNα+ChT IFNα+ChT	27 6	0 0	9 2	35 33	6-7
	IFNa+ChT	18	0	7	39	
	IFNγ+ChT	32	0	5	15	11
Maasilta 1992 ⁶⁴ McDonald 1993 ⁶⁵ Show 1995 ⁶⁶	IFN a+RT	10	0	6	69	
	IFNβ+RT	32	14	12	81	
	IFNy+RT	18				7-8
Krigel 1991 ⁶⁷ Rinaldi 1993 ⁶⁸ Arnold 1994 ⁶⁹	IFNβ+IL-2	73	1	2	4	9
	IFN α +RA	37	0	1	4	
	IFN α +RA	34	0	1	3	
Athanasiadis 1995	IFN α +RA	25	2	2	16	14
Garaci 1995	$IFN\alpha + ChT + T\alpha_1$	56	2	22	43	12,6
577 Salvati 1996	$IFN\alpha {+} ChT {+} T\alpha_1$	11			33	

ChT-chemotherapy; RA-retinoic acid; T α 1-thymosin α 1; RT-radiotherapy

IFNs in small-cell lung cancer (SCLC)

Similarly to NSCLC, the IFN trials in SCLC are heterogeneous, which makes the interpretation of the results difficult. The available data on this matter are presented in Table 4 (73-83). In most studies, IFN α was used as maintenance therapy for patients in whom complete (CR) or partial responses (PR) were achieved by induction chemo- and radiotherapy. In contrast to Mattson et al. (74,75), whose results were significantly in favor of IFN α therapy (in patients for whom other prognostic factors were favorable), other reports were negative or inconclusive (76,81,82). In the trials that used IFNy, no beneficial effect on survival was observed (78-80). It is noteworthy that IFN-therapy was usually associated with toxic effects, which frequently required the discontinuation of treatment.

Presently, it seems that IFNs do not make a major progress in the treatment of lung cancer. Further investigations to define the active biochemotherapeutic combination and optimal dosing schedules are necessary.

Breast cancer

Numerous attempts to improve the efficacy of chemotherapy of metastatic breast cancer by

the additions to, and substitutions of one or other chemotherapeutic agent/s in conventional regimens, have failed to produce further substantial improvement of response rate or response duration. Because of that, the biotherapy, including IFNs, in a combination strategy against breast cancer has been tried. However, limited number of clinical trials are available thus far and this approach is still in experimental area.

Encouraging data of initial reports concerning therapeutic potential of IFN α , have not been confirmed in subsequent clinical trials (84-88): in most patients, IFN therapy had negligible activity.

In few clinical studies, $IFN\alpha$ was used in combination with IL-2; minor objective response associated with considerable toxicity was reported (89-91).

In patients with subcutaneous metastases, the intralesional therapy with IFN α +IFN γ has been tested in two small trials. Promising locoregional antitumor activity associated with extensive immunomodulation was found (92,93), but further follow-up studies are needed to confirm these results.

Another combination of biotherapeutics - $\mbox{IFN}\alpha$ and thymostimulin, was evaluated in



 Table 4. Interferons in small-cell lung cancer patients responsive to induction chemotherapy.

Study	IFN type	Number of patients	Median time to progression (months)	Median survival (months)	2-year survival (%)
Kohne 1992 ⁷³	IFN-α	25	13.5	16.5	
Kohne 1992	control				
74 Mattson 1992	IFN-α ChT	91 59		11 11	18* 7
With Son 1992	control	87		10	6
75 Mattson 1997	IFNα ChT	91 59			10« 2«
	control	87			2«
Kelly 1993 ⁷⁶	IFN-α	64	9	13	35
2	control	68	10	16	35
77 Glisson 1993	IFN-α	14		10	
Jett 1994 ⁷⁸	IFN-γ	51	6,9	13,3	27 ^{ns}
	control	49	8,1	18,8	33
79 Bitran 1995	IFN-γ	41	3,6		
van Zandwijk 80 1997	IFN-γ	59		8,9	17
Tummarello 81 1997	IFN-α	14	12	15	28 ^{ns}
	control	12	7	9	25
[#] Zarogoulidis 82 1996	IFN-α+ChT ChT	42		11,3	
	ChT	39		10	
[#] Prior 1997 ⁸³	IFNα+ChT ChT	43 34	7,6 5,4	**	14 0

* p<0,01 in comparison to control

** p<0,02 in comparison to control

ns - not significant in comparison to control

IFN concomitant with chemotherapy

ChT - chemotherapy

« 5 year survival

advanced breast cancer by Munno et al. (94). In clinical terms, patients administering this combination could complete chemotherapeutic cycles without interruptions; they had fewer infections in comparison to patients receiving different therapeutic regimen.

Generally, all these data show that IFNs, used either as single agent or in combination with other biotherapeutics, have no or very limited clinical activity in advanced breast cancer.

During the last few years, there is an increasing number of trials using IFNs in combination with hormonotherapy in the treatment of this malignancy. Such an approach is based on in vitro and in vivo evidence that IFNs can induce estrogen receptors and reconstitute the sensitivity of mammary carcinoma to tamoxifen (95-97). In the first clinical trial that included 43 tamoxifen-resistant patients, 26% tamoxifenresponders were seen after IFN β pretreatment (98). Similarly, in a small pilot study of Seymour (99), four out of seven patients responded to treatment with IFN α and tamoxifen. However, subsequent clinical studies reported either no substantial improvement of the efficacy of tamoxifen after IFN treatment, or the higher

response in selected patients (predominant soft tissue disease) only (100-102). In a recent randomized study of Barak et al. (103), IFN β and IFN γ combined with hormonotherapy were used. Clinical response was correlated with various cytokine levels. A favorable response to the therapy was associated with significant increase of the IFN γ levels. Baseline levels of IFN γ and sIL-2R were found to be prognostic for clinical response, and to be the most sensitive cytokine parameter for defining the clinical utility of the combination of IFNs and hormonotherapy in this malignancy.

Based on the synergistic antiproliferative effect of IFN, retinoids and tamoxifen on the breast cancer cell lines (96,104,105), this combination was tested in the studies of Recchia et al. (106-108); such regimen was effective, with acceptable toxicity, as salvage therapy in pre-treated advanced breast cancer patients.

Taking altogether, the true value of these combination regimens cannot be recognized until additional information, obtained in larger number of patients, became available. At present, the use of IFNs-tamoxifen combinations in the treatment of advanced breast cancer remains investigational, and the optimal scheduling is still undetermined.

Either alone or combined with different biotherapeutics, IFN has also been tried, although less frequently, in tumors other than the abovementioned ones. In several clinical trials IFN α , usually combined with RA, was given to patients with squamous cell carcinoma (SCC) of uterine cervix; such an approach is of special interest, since both agents have been shown to suppress the growth of human papilloma virus type 16 (HPV-16)(109), which is related to cervical carcinoma. Moreover, IFN might correct the RT-due, long-lasting depression of lymphocytes (110,111). However, the results obtained in these trials are very heterogeneous. The high OR rates of 50% and 42% reported by Lippman et al. (112,113) were not confirmed in later studies (114,115).

The Lippman's group also reported very high (68%) objective response of patients with inoperable SCC of the skin; again, IFN α was combined with retinoic acid (116). The high response rates seen in these initial trials were not obtained in other squamous tumors (head and neck, oesophagus), in which this regimen (117-119), or the combination of IFN with IL-2 (120,121), was used. Further studies integrating such therapy with other treatment modalities are warranted in cervix and skin cancers.

Interferons were also tried as local-intravesical therapy in superficial bladder cancer. The average OR rate was 40% (122,123). Intravesical instillation of IFN lowers the relapse rate from 70-80%, seen after surgery alone, to 30-50%. It is noteworthy that this treatment has few and usually mild side effects, which is in contrast to the routine local BCG therapy; however, the latter has significantly higher response rates (60-70%). Because of that, an ongoing multi-center trial (123) uses low doses of both agents in the therapy of superficial bladder cancer.

The local (intra- and perilesional) or systemic IFN therapy was tried, with some success, in patients with various other solid tumors: hondrosarcoma (124), malignant pleural mesothelioma (125,126), glioma (127), prostatic cancer (128) and AIDS-related Kaposi's sarkoma (129,130). This latter entity is of special interest, since it is the most common complication of HIV infection and AIDS; therefore, all biological activities of IFN (antiviral, antitumor and immunological), may be of relevance. It is agreed that IFN monotherapy may be effective in a proportion of patients (those with CD4 cell number > 150 cells/mm³)(131). However, the doses necessary to achieve a significant antitumor effect are often poorly tolerated. The therapy with IFN and zidovudine (132-135) resulted in tumor regression in a substantial percentage

CONCLUSIONS AND FUTURE DIRECTIONS

Athough IFNs have been tried in the therapy of solid malignancies other than RCC and MM for several years, it can be stated presently that this approach is still in experimental area. Despite the wide variety of clinical trials using IFNs either as monotherapy or in combination with other BRMs, no clear-cut enhancement of therapeutic efficacy of standard treatment has been substantiated. However, it seems that some combinations, such as IFN and hormonotherapy for breast cancer, and IFN+retinoic acid in squamous cell carcinomas, deserve further investigation. The disappointing results of IFN therapy may be primarily due to its use mainly in advanced cancer. As it has been known for several years, the biotherapy might be more effective in the early stages of tumor development. Therefore, the optimal effects of IFN therapy may be expected during the early evolution of cancer (136), and in premalignant lesions as well (137,138). The kinetics of tumor cell populations influence the expression of specific receptors, which is a common denominator of the action of BRMs. Thus, the response of human tumors to IFN strongly depends on the tumor cell growth kinetics (136,137): the stationary cell populations are killed, whereas the fast growing ones are only reversibly inhibited. This may be the reason for different sensitivity of primary tumors and metastases (139).

In future investigations of therapeutic potential of IFNs in solid malignancies, the key question to be addressed is the better understanding of biological mechanisms of specific tumor sensitivity, i.e., why some tumors of the same histologic type are responsive and some are not. Furthermore, the mechanisms of resistance of sensitive tumor types also have to be resolved. Such research may lead to better defining the biological features related to tumor responsiveness (140, 141).

In addition, several other points remain to be elucidated: the relative contribution of antiviral, antitumor and immunologic effects of IFNs in exerting the beneficial effect in some malignant lesions, the defining predictive factors for clinical response and the optimal therapeutic schedule, the reduction of toxicity, the treatment duration, new indications and new drug combinations - all are expected to be resolved in near future.



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The next ESMO congress will be held in Hamburg from 13-14 October, 2000. It will be the 25th congress of our Society and it will mark a substantial period of time during which ESMO has grown steadily as regards number of members, quality of its official journal, *Annals of Oncology*, and relevance of its role in the political issues of oncology in Europe.